



## 403(b) Approved Provider List

Please indicate in 1. below the providers that are currently approved to receive contributions and transfers for your 403(b) plans. In addition, please indicate in 2. below those providers that are not approved under your plan, but can accept transfers. Remember that after September 24, 2007, transfers must be limited to approved providers and those unapproved providers that agree to enter into an information sharing agreement with you by January 1, 2009.

Employer Name: \_\_\_\_\_  
Effective Date: \_\_\_\_\_  
Authorized Signature: \_\_\_\_\_  
Title: \_\_\_\_\_

### 1. Approved 403(b) Providers authorized to receive contributions and transfers:

403(b) Plan Name: \_\_\_\_\_  
403(b) Plan Numbers: \_\_\_\_\_

#### Name of Provider

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Make a copy of this page if additional approved providers are available)

### 2. Please indicate below the Unapproved 403(b) Providers to receive transfers, but not contributions, after September 24, 2007. Only include a provider if you will be entering in an information sharing agreement with the provider by January 1, 2009.

403(b) Plan Name: \_\_\_\_\_  
403(b) Plan Numbers: \_\_\_\_\_

Name of Provider

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(Make a copy of this page if additional unapproved providers are available)

**Please fax to 800-842-5916 by October 31, 2007.**