



## 2 Current Carrier or Fund Provider Account Information

Please attach a copy of your most recent statement for the account(s) you are transferring to TIAA-CREF.

IMPORTANT NOTE TO CURRENT CARRIER OR FUND PROVIDER: Our client named above authorizes you, as the current carrier or fund provider, to forward to them immediately, any forms that are required to complete this transaction.

Name of current carrier or fund provider that issued the contract being exchanged.

Telephone Number

 -  - 

Address

City

State

Zip Code

 - 

### Wire Transfer Request

Are you requesting that the funds be sent to us as a wire transfer?  Yes  No

A fee may be imposed by your current carrier or fund provider for this service. Also, some firms may not provide this service.

## 3 Your Transfer

Please complete a separate request for each account. A copy of your statement is required.

Account Number

### What type of funds are you transferring/rolling over?

If you do not know the IRA type of funds that are being transferred/rolled over, please contact your current carrier or fund provider.

401(a)   
  401(k)   
  403(b)   
  403(b) (7)   
  414(h)   
  457(b) Public   
  Keogh  
 SEP IRA   
  Roth IRA\*   
  SIMPLE IRA\*\*   
  Traditional IRA   
  Defined Benefit Plan

\* A Roth IRA can only be rolled over to another Roth IRA.

\*\* SIMPLE IRA must have been established for at least two years. If prior to the 2-year holding period, and is otherwise an early distribution, the additional tax imposed by the IRS (because of the early distribution) is increased from 10% to 25% of the amount distributed.

Check one source of transfer:

 Brokerage Account or a Mutual Fund Company

 Annuity or Life Insurance Company

 Certificate(s) of Deposit at a Bank or Credit Union

 Liquidate my entire account immediately and transfer to TIAA-CREF. I acknowledge and am aware of penalties that may be incurred.

 Liquidate only a portion of my account as detailed below.

Investments to be transferred/rolled over (if CD, include maturity date)

# of shares

or Percent

or Dollar Amount










Please note that TIAA-CREF will not execute a transfer if a CD is not within 30 days of maturity. TIAA-CREF is not liable if your CD term is renewed or if penalty fees are incurred as a result of transferring/rolling over funds from a CD.



