



ASSET MOVEMENT AUTHORIZATION

I. CLIENT INFORMATION

NAME: _____

SOCIAL SECURITY NUMBER: - -

FROM ACCOUNT NUMBER: -

TO ACCOUNT NUMBER: -

II. RETIREMENT PLAN TYPE (Select one)

- TRADITIONAL IRA ROTH IRA SEP IRA

III. CONTRIBUTION TYPE

In keeping with Internal Revenue Service (IRS) tax reporting requirements, employer contributions (including employee salary deferrals) must be processed and reported in the year they actually occur, regardless of the year of designation. Obtain and refer to the instructions on filing IRS Form 5498.

	CURRENT YEAR	PRIOR YEAR	TOTAL DEPOSIT
TRADITIONAL IRA/ROLLOVER CONTRIBUTION	\$ _____	\$ _____	\$ _____
SEP - IRA	\$ _____	\$ _____	\$ _____
ROTH CONVERSION — CASH	\$ _____		\$ _____
TOTAL (The amount of your check should equal this amount)	\$ _____	\$ _____	\$ _____

CONVERSION/ROLLOVER SECURITIES (Select one and list the securities below)

- SECURITIES CONVERSION TO ROTH IRA ROLLOVER SECURITIES (See rollover certification section below)

DESCRIPTION	QUANTITY
_____	_____
_____	_____

NOTE: Use the Roth Conversion Form to convert your individual retirement account held with us to a Roth IRA held with us.

CONVERSION OR ROLLOVER CERTIFICATION

If I elect to make a conversion or rollover contribution to the retirement account indicated above, I hereby certify that I understand the conversion or rollover rules and conditions as they pertain to this retirement account, and I have met the requirements for making such a transaction. Due to the important tax consequences of converting or rolling over funds or property, I have been advised to consult with a tax professional. All information provided by me is true and correct and may be relied upon by the custodian. I assume full responsibility for these transactions and will not hold the custodian liable for any adverse consequences that may result. I hereby irrevocably designate the conversion or rollover of funds or other property as rollover contributions.

AGE 70½ CONVERSION/ROLLOVER/TRANSFER RESTRICTION

If you are over the age of 70½ this year, you may not convert, rollover, or transfer required minimum distribution amounts. If necessary, instruct your present custodian to either: 1) pay your required minimum distribution to you now; or 2) retain that amount for distribution to you later. For Roth conversions, you must take your required minimum distribution amount before you convert your assets to a Roth IRA.

IV. TRANSFERS DUE TO DEATH AND DIVORCE

- TRANSFER DUE TO DIVORCE TRANSFER DUE TO DEATH OF THE ACCOUNT HOLDER (Accounts with multiple primary beneficiaries must establish an inherited account for each beneficiary using his or her name and Social Security Number. Only spousal beneficiaries are allowed to treat the IRA as if it were their own and transfer it, in their name, to a Traditional Participant IRA.)

CASH: \$ _____; and/or SECURITIES: DESCRIPTION _____ QUANTITY _____ DESCRIPTION _____ QUANTITY _____
 (If more space is needed, attach a separate sheet and check the box to the right.) PLEASE SEE ATTACHED SHEET FOR PROCESSING INSTRUCTIONS

V. CLIENT SIGNATURE

SIGNATURE: _____

DATE: _____



IRA-105 JRNL